



Mohit Chadha

DIRECTOR OF IT

📍 Avon, CT (Open to Relocation)

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CORE COMPETENCIES

- Cybersecurity & Risk
- AI Tools & Vendor Due Diligence
- Vendor & MSP Governance
- Identity & Access Management
- Data Security & Loss Prevention
- Executive & C-Suite Advisory
- Cloud Architecture & Migration
- Microsoft 365 / Azure / Entra ID
- Disaster Recovery & Continuity
- Network & Endpoint Security
- IT Strategy & Roadmapping
- Budget & Cost Optimization
- Custodian & Broker Integration
- Investment Mgmt Technology
- Change Management & Adoption

TECHNOLOGY

Security & Risk

Endpoint & Network Security • Vulnerability Management • Identity & Access Management • Data Loss Prevention • Encrypted File Systems • Threat Assessment

AI & Emerging Technology

Claude Teams (primary) • Agentic AI workflows (MCP) • Prompt-injection controls • ChatGPT • Microsoft 365 Copilot • Perplexity • NIST AI RMF (working knowledge)

Cloud & Identity

Microsoft 365 • Azure AD / Entra ID • Exchange Online • Windows Server • Active Directory • Remote Desktop Services

Vendor & Program Mgmt

MSP Governance & Oversight • Vendor & Contract Management • IT Budgeting • Change Management • End-User Training

Networking

Office LAN and wireless • Ubiquiti • SonicWall

Financial Systems

Portfolio Accounting & Trading Platforms • Custodian/Broker Data Integration • Multi-Currency Accounting (early career)

EDUCATION

The State University of New York at Stony Brook

Bachelor of Science, Computer Science

SUMMARY

Technology leader with 30+ years of experience across financial services and regulated industries. Career spans both sides of investment management technology: building the software (a multi-currency portfolio accounting system used by 50+ institutional clients to process \$135B+ in assets) and running the infrastructure (sole technology leader for a privately held, SEC-regulated investment firm for three decades, guiding 10x growth to \$1B+ in assets under management as an institutional hedge fund, then its 2016 transition to a single-family office).

Deep expertise in cybersecurity, vendor and MSP governance, and executive advisory, built through direct, hands-on ownership rather than delegated management. Comfortable operating as the sole technical authority in an organization or contributing within a larger team, with a proven record of modernizing legacy environments, managing full technology budgets, and translating business priorities into working systems. Applied AI tools across a multi-month operating-model scoping project for a prospective investment adviser, and now build agentic AI workflows with least-privilege access, human approval, and audit logging designed in from the start. Open to Head of IT, Director of IT, and senior infrastructure or vendor management roles, as well as advisory and fractional engagements.

30+ Years Technology Leadership	\$1B+ AUM Supported, 10x Growth	0 Security Incidents	50%+ Infrastructure Cost Savings
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SELECTED CAREER IMPACT

- Sole technology leader through 10x growth to \$1B+ in assets under management at an SEC-regulated investment firm, and through its 2016 transition to a single-family office.
- Delivered 50%+ cost savings, 99.5% uptime, and zero security incidents in a Microsoft 365/Azure/Entra ID environment that grew out of the firm's 2011 move from on-premise Exchange to hosted cloud.
- Reduced broker, custodian, and market data connections by roughly half from the firm's institutional peak through ongoing analysis of usage and cost.
- Led a small development team that built a multi-currency portfolio accounting system deployed at 50+ institutional clients, processing \$135B+ in assets.

PROFESSIONAL EXPERIENCE

Ballentine Capital Management

Avon, CT | 1993 – Present

Head of Technology

2016 – Present

- Serve as sole technology leader for a private, single-family-office investment firm, holding end-to-end ownership of infrastructure, security, vendor strategy, and the annual IT budget, with direct advisory responsibility to firm leadership.
- Operate a modern Microsoft 365/Azure/Entra ID environment delivering 50%+ cost savings versus the prior on-premise infrastructure, with 99.5% uptime and zero security incidents.
- Hardened the firm's security posture with automated vulnerability scanning, encrypted containers for firm and principal data, and off-premise disaster recovery.
- Reduced broker, custodian, and market data connections by roughly half from the firm's institutional peak, consolidating data terminal licenses and eliminating redundant feeds through ongoing analysis of usage and cost.
- Replaced hardware and software vendors as the firm's requirements evolved, and ended reliance on the managed service provider once the family-office transition reduced the firm's technology needs.
- Extend the same security and infrastructure standards built for the firm to the UHNW principal's personal and residential technology.

Director of Information Technology

2001 – 2016

- Scope expanded following a 2001 leadership departure; became the firm's primary technology advisor to executive leadership, translating business priorities into technology roadmaps.
- Assumed full ownership of hardware infrastructure in 2007, ending reliance on an external hardware vendor and consolidating procurement and support in-house.
- Set up the firm's office network, including wireless, on Ubiquiti and SonicWall equipment.
- Initiated the firm's transition from on-premise Exchange and domain infrastructure to hosted cloud email in 2011, partnering with a managed service provider; the initiative evolved over the following decade into a full Microsoft 365/Azure/Entra ID environment.
- Guided the firm through a decade of institutional growth, supporting a 10x increase in assets under management to \$1B+, while supporting compliance and data-retention requirements from an IT infrastructure perspective as a registered investment adviser.

IT Manager

1993 – 2001

- Recruited from Integrated Business Systems as the firm's first in-house IT hire, brought on to support and extend the custom portfolio accounting system built during a prior tenure there.
- Owned all day-to-day IT operations, systems administration, and end-user support for the firm.
- Established vendor governance practices from the ground up across hardware, software, and third-party broker and custodian data providers.

Integrated Business Systems, Inc.

Melville, NY | 1990 – 1993

Senior Software Developer

- Led a small development team building Visual Portfolio Manager, a multi-currency portfolio accounting system.
- Deployed the system at 50+ institutional clients, processing \$135B+ in assets.
- Built custodian and broker data pipeline integrations connecting the platform to external market data and settlement systems.
- Coordinated release cycles and collaborated with portfolio managers, analysts, traders, and legal teams in a regulated, accuracy-critical environment.

INDEPENDENT AI WORK

Agentic AI Workflow Design

2026 – Present

- Designed and run MCP-connected AI agents across five business systems on Claude Teams, with least-privilege access, human approval for outbound actions, and an audit trail to contain prompt-injection and data-exfiltration risk; scheduled agents run unattended weekly.

Operating-Model Scoping, Pre-Launch Investment Venture

2026

- Used Claude Teams as the primary research and analysis tool across a multi-month project scoping a prospective registered investment adviser and pooled fund: registration requirements, compliance vendor and outsourced CCO due diligence, operating model, budget, and technology architecture.
- Chose the AI platform and plan based on its data terms, including data-retention, model-training, and privacy commitments.